

Case Report Form and Data Management

From Case Report Forms to Final Analysis



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ICH GCP Workshop

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Data Collection Tools/CRF Design

Most important step in ensuring data quality is appropriate form design

- CRF Content
- CRF Layout

Case Report Form

A printed, optical, or electronic document designed to record all of the protocol required information to be reported on each trial subject,

CRF Content

- CRF questions, prompts and instructions should be clear and concise
- Avoid open-ended questions
- Phrase questions in the positive in order to avoid confusion
- Use appropriate, mutually exclusive responses
- Include units of measurement (e.g. DLCO ml/min/mmHg)

CRF Content(Continue)

- Collect raw data versus derived data
- Explicitly identify data (e.g. first name, middle name, last name versus name
- Avoid **referential** and **redundant** data points
- Include an **identifier for the protocol version**
- Keep subject identifiers to minimum

DON'T

Q1. How much pain have you experienced lately?

Q2. Weight:_____kg/lbs

Q3. Current Medications:

1. _____
2. _____
3. _____

• **DO**

Q1. How much pain have you experienced lately?

none a little some a lot

Q2. Weight: _ _ _ . _ kg

Q3. Current Medications?

Tylenol • Yes No

Advil • Yes No

Aleve • Yes No

CRF Layout

- Place **key data** used in the analysis prominently on the page
- Create **well-ordered, structured , easy** to follow CRFs
- Adopt **consistent style** for all the CRFs in the study
- Design the CRFs **to follow the data flow** from the perspective of the person completing the CRF
- **Pilot the CRFs** prior to study initiation

“Check All that Apply” (3)

DON'T:

Type of Problem: (check all that apply)

☐ Alarm 'A'
☐ Alarm 'B'
☐ Alarm 'C'
☐ Power failure



DO:

Type of Problem: (check one response for each problem)

Yes No
☐ ☐ Alarm 'A'
☐ ☐ Alarm 'B'
☐ ☐ Alarm 'C'
☐ ☐ Power failure



Allegro

OCUG 2009

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“Check All that Apply” (4) – **DON'T**

2. Means of diagnosis:
 Presumed ☐ Documented: ☐ Clinical ☐ Radiographic ☐ Swab culture ☐ Other _____

3. Infection type:
☐ Bacterial; organism(s) ID _____
☐ Fungal; organism(s) ID _____

4. Were all ARS sterility testing results _____? ☐ Yes ☐ No
 If NO, indicate positive culture and organism(s) identified: _____
☐ Inoculum _____ ☐ Effluent _____ ☐ Product _____

5. Was a sample taken from surgical site for sterility testing? ☐ Yes ☐ No
 a. If yes, was culture negative (no growth or no organism identified)? ☐ Yes ☐ No
 b. If NO, indicate positive culture and organism(s) identified:
☐ Bacterial _____ ☐ Fungal _____



BIOPHARM
systems

OCUG 2009

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CRF Layout

Updated: 03/26/07

HIV-1 RNA RESULTS IMAGING STUDY OF HIV CEREBRAL INJURY

MRHC 001 / Navia
VI8900

Page 1 of 1

Patient Number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Date of Specimen collected	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Form Week	<input type="text"/>	<input type="text"/>	<input type="text"/>	Completed By (Initials):			<input type="text"/>	<input type="text"/>	<input type="text"/>							
							*Sequence Number	<input type="text"/>	* Enter a "1" if this is the first of this form for this date. Designate subsequent forms on the same date with a 2, 3, etc.							
Collection Site	<input type="checkbox"/> UCSD	<input type="checkbox"/> UCLA	<input type="checkbox"/> UCLA Harbor	<input type="checkbox"/> Rochester												
	<input type="checkbox"/> Pittsburgh	<input type="checkbox"/> Penn	<input type="checkbox"/> Colorado	<input type="checkbox"/> Stanford												

INSTRUCTIONS: Key this form immediately upon receipt of the results from the laboratory.
Use the same header information that was used on the Virology Specimen Tracking Form (VI14688).

1. Was the HIV-1 RNA result obtained from testing lab?..... (1 - Yes, 2 - No)

If Yes, go to question 2.

If No, complete (a.) and STOP.

Reason from testing lab that results were not obtained: [70]

a. _____

2. Enter the specimen ID number [15]:.....

CRF Layout, continued

3. Enter the name of the testing lab..... _____

4. Date assay performed:..... / /

5. Type of assay:..... ☐

- 1 - Roche RT-PCR (Amplicor™) HIV-1 Monitor
- 2 - Roche Ultra-sensitive TM
- 3 - Chiron 1 Generation bDNA
- 4 - Chiron 2 Generation bDNA (ultra-sensitive)
- 5 - Organon (Teknika™) NASBA
- 6 - Organon (Teknika™) Nuclisens
- 7 - Roche RT-PCR (Amplicor™) HIV-1 Monitor V.1.5
- 8 - Roche Ultra-sensitive V.1.5

9 - Other, specify [30]: _____

6. Enter the plasma HIV-1 RNA result in copies/mL and the quantifier code:

Plasma RNA Results (copies/mL)

Quantifier Code
1 (=), 2 (>), 3 (<) ☐

7. Enter the CSF HIV-1 RNA result in copies/mL and the quantifier code:

a. Date CSF RNA performed / /

b. CSF RNA Results (copies/mL)

Quantifier Code
1 (=), 2 (>), 3 (<) ☐

8. Were there any additional comments, (i.e. censor codes) from the testing lab?..... (1 - Yes, 2 - No) ☐

If No, STOP.

If Yes, complete (a.)

a. Comments [70]: _____

Delaware Adult HIV Confidential Case Report Form

DATE ENTERED:

I. HEALTH DEPT USE ONLY

(Patients ≥ 13 years of age at time of diagnosis)

Document ID	Soundex Code	Report Status	Date Rec'd at DPH	State Number
DE00-		New Update	/ /	
Document Source	New Investigation	Report Medium	Surveillance Method	
A - - - -	Y N U		A F P R U	

II. PATIENT IDENTIFIER INFORMATION – data not transmitted to CDC

Patient Name: _____ Patient Alias: _____ SS#: _____
last first middle
 Current Address: _____
 City: _____ County: _____ State: _____ Zip: _____ Phone: () _____

III. FORM INFORMATION

Date form completed: ____/____/____ Person completing form: _____ Phone: () _____

IV. CURRENT PROVIDER INFORMATION

Physician: _____ Facility: _____
last first middle
 City: _____ State: _____ Phone: () _____
 Med Rec No: _____ Date of Most Recent Visit: ____/____/____

V. DEMOGRAPHIC INFORMATION – complete ALL fields

Diagnostic Status: <input type="checkbox"/> Adult HIV <input type="checkbox"/> Adult AIDS	Sex at Birth: <input type="checkbox"/> Male <input type="checkbox"/> Female	Date of Birth: ____/____/____	Country of Birth: <input type="checkbox"/> U.S. <input type="checkbox"/> U.S. Territory <input type="checkbox"/> Unk <input type="checkbox"/> Other	Status: <input type="checkbox"/> Alive <input type="checkbox"/> Dead <input type="checkbox"/> Unk	Death Date: ____/____/____ State/Terr of Death: _____
Marital Status: S M W D Oth Unk	Ethnicity: Hispanic <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unk Arabic <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unk	Race (check all that apply): <input type="checkbox"/> Black/AA <input type="checkbox"/> White <input type="checkbox"/> Asian <input type="checkbox"/> Native American or Alaskan <input type="checkbox"/> Hawaiian/PI <input type="checkbox"/> Unk <input type="checkbox"/> Other			
Residence at HIV Diagnosis: <input type="checkbox"/> Same as Current Street Address: _____ City: _____ County: _____ State/Country: _____ Zip: _____					
Residence at AIDS Diagnosis: <input type="checkbox"/> Same as Current Street Address: _____ City: _____ County: _____ State/Country: _____ Zip: _____					

VI. FACILITY OF DIAGNOSIS

HIV Facility:		
Address:		
City, State/Country		
AIDS Facility:		
Address:		
City, State/Country		
HIV	Facility Type	AIDS
	Private Physician	
	Hospital Inpatient	
	Outpatient	
	Emergency Department	
	Other:	

VII. PATIENT HISTORY – COMPLETE ALL FIELDS

Before the 1 st positive HIV test/Stage 3 HIV diagnosis, patient had:	Y	N	U
Sex with male			
Sex with female			
Injected drugs			
Received clotting factor			
Heterosexual relations with the following:			
• Injecting Drug User (IDU)			
• Bisexual male (applies to females only)			
• Person with hemophilia/ coagulation disorder			
• Transfusion recipient w/ documented HIV infection			
• Person with AIDS or documented HIV infection, risk unspecified			
Received transfusion Date 1 st : / Date last: /			
Received organ transplant, tissue or artificial insemination			
Worked in healthcare/clinical laboratory			
OCCUPATION:			
Perinatally Infected			
Other:			

VIII. DUPLICATE REVIEW AND ADDITIONAL PATIENT OR DEMOGRAPHIC INFORMATION:

COMPLETE REVERSE SIDE OF FORM



MISSOURI DEPARTMENT OF HEALTH AND SENIOR SERVICES
Section for Disease Prevention
930 Wildwood Drive, P.O. Box 570, Jefferson City, MO 65102-0570
Telephone: (573) 751-6113 FAX: (573) 526-0235

DISEASE CASE REPORT

IF THE CONDITION REQUIRES IMMEDIATE PUBLIC HEALTH INTERVENTION, OR IS SUSPECTED OF BEING A DELIBERATE ACT, OR PART OF AN OUTBREAK, CALL THE DEPT OF HEALTH AND SENIOR SERVICES 24 HOURS A DAY, 7 DAYS A WEEK AT 1-800-368-0272

FOR PUBLIC HEALTH AGENCY USE ONLY

CONDITION ID	PART YLD
OUTBREAK ID	DATE RECEIVED BY LHA
JURISDICTION	

NAME (LAST, FIRST, MI)	PATIENT IDENTIFIER	DATE OF BIRTH	AGE	MARITAL STATUS	SEX <input type="checkbox"/> Male <input type="checkbox"/> Female
------------------------	--------------------	---------------	-----	----------------	--

PATIENT'S COUNTRY OF ORIGIN	DATE ARRIVED IN USA	OCCUPATION	RACE/ETHNICITY (CHECK ALL THAT APPLY) <input type="checkbox"/> AMERICAN INDIAN <input type="checkbox"/> PACIFIC ISLANDER <input type="checkbox"/> UNKNOWN <input type="checkbox"/> ASIAN <input type="checkbox"/> WHITE <input type="checkbox"/> BLACK <input type="checkbox"/> OTHER RACE - Specify: _____
HOME TELEPHONE	WORK TELEPHONE	PARENT OR GUARDIAN	HISPANIC: <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> UNK

IS PERSON HOMELESS? <input type="checkbox"/> YES	ADDRESS	CITY, STATE, ZIP CODE	COUNTRY OF RESIDENCE
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WAS PATIENT HOSPITAL ADMISSION? <input type="checkbox"/> YES <input type="checkbox"/> NO	IF YES, NAME OF HOSPITAL	HOSPITAL ADDRESS	CITY, STATE, ZIP CODE	HOSPITAL TELEPHONE
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REPORTER NAME (Form Completed By)	REPORTING FACILITY	REPORTER ADDRESS	CITY, STATE, ZIP CODE	REPORTER TELEPHONE
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TYPE OF REPORTING FACILITY <input type="checkbox"/> PHYSICIAN <input type="checkbox"/> OUTPATIENT CLINIC <input type="checkbox"/> HOSPITAL <input type="checkbox"/> LABORATORY <input type="checkbox"/> SCHOOL <input type="checkbox"/> OTHER: _____	DATE OF REPORT	PHYSICIAN/CLINIC NAME	PHYSICIAN/CLINIC TELEPHONE	HAS PATIENT BEEN NOTIFIED OF DIAGNOSIS/LAB RESULTS? <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> UNK
PHYSICIAN/CLINIC ADDRESS		CITY, STATE, ZIP CODE		

PROGNOSTIC <input type="checkbox"/> YES - DUE DATE: _____ <input type="checkbox"/> NO <input type="checkbox"/> UNK	OTHER ASSOCIATED CASES? <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> UNK	RECENT TRAVEL OUTSIDE OF IMMEDIATE AREA? <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> UNK	DATE OF DEPARTURE	DATE OF RETURN	TRAVEL LOCATION
--	--	---	-------------------	----------------	-----------------

CHECK BELOW IF PATIENT OR MEMBER OF PATIENT'S HOUSEHOLD (HHL):	PATIENT	HHL MEMBER	IF YES, PROVIDE BUSINESS NAME, ADDRESS AND TELEPHONE NUMBER:
IS A FOOD HANDLER?	YES NO UNK	YES NO UNK	
ASSOCIATED WITH OR ATTENDS CHILD ADULT CARE CENTER?	YES NO UNK	YES NO UNK	
ASSOCIATED WITH OR RESIDENT OF NURSING HOME?	YES NO UNK	YES NO UNK	
ASSOCIATED WITH OR INMATE OF CORRECTIONAL FACILITY?	YES NO UNK	YES NO UNK	
ASSOCIATED WITH HOMELESS SHELTER?	YES NO UNK	YES NO UNK	
IS A STUDENT OR FACULTY/STAFF OF A SCHOOL?	YES NO UNK	YES NO UNK	
IS A HEALTH CARE WORKER?	YES NO UNK	YES NO UNK	
OTHER (specify): _____	YES NO UNK	YES NO UNK	

HAS PATIENT DONATED OR RECEIVED BLOOD OR TISSUE?	DATE DONATED	DATE RECEIVED	SPECIFY TYPE OF BLOOD OR TISSUE AND FACILITY NAME/ADDRESS
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DISEASE CONDITION NAME(S)	ONSET DATE(S)	DIAGNOSIS DATE(S)	SEVERITY OF VARICELLA <input type="checkbox"/> <50 lesions <input type="checkbox"/> 50-249 lesions <input type="checkbox"/> 250-500 lesions <input type="checkbox"/> >500 lesions	VACCINATION HISTORY FOR REPORTED CONDITION DATES <input type="checkbox"/> UNKNOWN
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SYMPTOM	SYMPTOM DATE	ONSET DATE (MO/DAY/YR)	DURATION (DAYS)	DID PATIENT DIE OF THIS ILLNESS? <input type="checkbox"/> YES <input type="checkbox"/> NO - IF YES, GIVE DATE
				COMMENTS

DO NOT COMPLETE DIAGNOSTICS IF LAB SLIP IS ATTACHED							
RESULT DATE (MO/DAY/YR)	TYPE OF TEST	SPECIMEN TYPE/SOURCE	SPECIMEN DATE (MO/DAY/YR)	QUALITATIVE/QUANTITATIVE RESULTS	REFERENCE RANGE	LABORATORY NAME/ADDRESS (STREET, CITY, STATE, ZIP CODE)	LIVER FUNCTION RESULTS ALT AST

For Section of EPI use only:
AKSTARS # _____
Fax to ASVL
(Fax: 907-474-4036)

**Confidential Influenza-Associated Mortality
Case Report Form
State of Alaska, Section of Epidemiology**

PATIENT INFORMATION			
Last name		First name	
Street address		City	Date of birth / / Zip code
Gender • Female • Male	Ethnicity • Hispanic • Non-Hispanic • Unknown	Race • White • Black • Native American • Asian/Pacific Islander • Other • Unknown	
ONSET, HOSPITALIZATION AND DEATH INFORMATION			
Date of onset of symptoms / /	Hospitalized? • Yes • No • Unknown	If hospitalized, hospital name and location	
Date of hospital admission / /	Date of hospital discharge / /		
Date of death / /	Location of death (i.e. home, ED-name of hospital ED, etc.)		If died, autopsy performed? • Yes • No • Unknown
INFLUENZA LABORATORY TESTING INFORMATION (Please attach a copy of the test result, if available)			
Date of specimen collection / /	Specimen type (e.g. nasopharyngeal swabs, endotracheal aspirate, bronchoalveolar lavage)		
Influenza type and/or subtype		Where was testing performed?	
Rapid test _____ PCR _____			
INFLUENZA VACCINATION HISTORY			
Received seasonal influenza vaccine during the current season? Yes • No • Unknown			
If yes, date vaccinated: _____ / / Please specify the type of influenza vaccine received: _____			
CLINICAL COURSE			
Received antiviral treatment? • Yes • No • Unknown		Type of antiviral • Oseltamivir • Zanamivir • Other Specify other: _____	
Date antiviral treatment started / /	Date antiviral treatment ended / /	Intubated? • Yes • No • Unknown	
Complications • Pneumonia • ARDS • Sepsis • Acute renal failure • Encephalitis/encephalopathy • Required vasopressor • Required hemodialysis • Pulmonary embolus • Secondary bacterial infection If yes, specify organism: _____ • Other Specify other: _____			
SIGNIFICANT PAST MEDICAL HISTORY			
• Cardiac disease • Chronic pulmonary disorder • Immunosuppression (e.g. cancer) • Immunosuppressive medications (e.g. chemotherapy, steroids) • Metabolic disorder (e.g. diabetes mellitus, renal) • Neurological disorder (e.g. cerebral palsy) • Hemoglobinopathy (e.g. sickle cell disease) • Genetic disorder (e.g. Down syndrome) • Obesity If obese, BMI (if known): _____ Height: _____ Weight: _____ • Pregnant If pregnant, estimated delivery date: _____ / _____ / _____ • Postpartum If postpartum, delivery date: _____ / _____ / _____ • Other conditions (e.g. hypertension, hyperlipidemia)			
Reported By: _____		Date Reported: _____ / _____ / _____	
Phone Number: _____			

Fax reports to (907) 563-7868. This form is also available online at:
<http://dhss.alaska.gov/dph/Epi/Pages/pubs/conditions/default.aspx>

Rev
3/31/16

To report Public Health Emergencies call (907) 269-8000 or after hours (800) 478-0084





Zika Virus Case Report Form

WANIDD ID:

Completed by:

NOTIFIER DETAILS

Notifier: Organisation:

Telephone: Fax: Email:

CASE DETAILS

Name:
First name Surname

Address: Post code:

Telephone: Mobile : Email:

Date of birth:/...../..... Age: Years Sex: ☐ Male ☐ Female

Country of birth: English preferred language: ☐ Yes ☐ No – specify

Ethnicity: ☐ Aboriginal / Torres Strait Islander ☐ Other ☐ Unknown Occupation:

CLINICAL DETAILS

Symptoms: ☐ No ☐ Yes → Date of onset:/...../..... Date of first consultation:/...../.....

- ☐ Fever : ☐ Yes (>38C) ☐ Yes (self-report) ☐ No
- ☐ Arthralgia: ☐ Yes ☐ No
- ☐ Headache: ☐ Yes ☐ No
- ☐ Conjunctivitis (non-purulent): ☐ Yes ☐ No
- ☐ Rash: ☐ Yes ☐ No
- ☐ Myalgia: ☐ Yes ☐ No
- ☐ Retro-orbital pain: ☐ Yes ☐ No
- ☐ Other: – specify

Hospitalised: ☐ No ☐ Yes → Hospital: Date:/...../..... Days:

Complications: ☐ No ☐ Unknown ☐ Yes – specify

Is the case pregnant? ☐ No ☐ Unsure ☐ Yes → .. weeks gestation EDD:/...../.....

Vaccination history

- ☐ Japanese encephalitis: ☐ No ☐ Unsure ☐ Yes – Date:/...../.....
- ☐ Yellow fever: ☐ No ☐ Unsure ☐ Yes – Date:/...../.....

LABORATORY DETAILS

Date of first specimen:/...../..... Lab:

Laboratory tests

Please mark as +, – or equivocal and provide titres where relevant

Virus	Specimen date	IgM	HI	Neutralisation	NS1	PCR	Virus isolated	Comment
Zika virus								
Dengue								
Flavivirus								
Other								

Data Management

- Estimate of the data management budget
- Data Management Plan
- Data Collection Tools/ CRF design
- Data Management System planning and implementation
- Interim Analysis datasets
- Reports
- Final Analysis datasets
- Study Closure

Principles

- All clinical trial information should be recorded, handled, and stored in a way that allows its accurate reporting, interpretation, and verification.
- The confidentiality of records that could identified subjects should be protected, respecting the privacy and confidentiality rules in accordance with the applicable regulatory requirements.
- The investigator should ensure the accuracy, completeness, legibility, and timeliness of the data reported to the sponsor in the CRFs and in all required reports.
- Data reported on the CRF, that are derived from source documents, should be consistent with the source documents or the discrepancies should be explained.
- The sponsor should utilized appropriately qualified individuals to supervise the overall conduct of the trial, to handle the data, to verify the data, to conduct the statistical analyses, and to prepare the trial reports.

Principles

- Maintain SOPs
- Ensure that the systems are designed to permit **data changes** in such a way that the data changes are documented and that there is no deletion of entered data (i.e., maintain an **audit trail, data trail ,edit trail**).
- Maintain a **security system** that prevents unauthorized access to the data
- Maintain a **list of the individuals** who are **authorized to make data changes**.
- Maintain adequate **backup** of the data.
- Safeguard the **blinding**, if any, during data entry and processing.

Data Management Plan (DMP)

- DMP – a document which describes and defines all data management activities
- DMP - helps an organization develop and standardize data management procedures

Association for Clinical Data Management

- Data Entry Procedures
- Specification for Clinical Laboratories
- Electronic Data Transfer
- Query Handling
- Backup and Recovery Procedures
- Archiving and Security
- **Contract Research Organizations**

Data Management System

- Selection of hardware and Software
- Database Management System (DBMS)
- Data Dictionary
- Database Development
- Data Entry System
- Reporting System
- System Documentation
- System Maintenance and Support
- Security and Data Confidentiality

Spreadsheet vs. Database

<u>Property</u>	<u>Spreadsheet</u>	<u>Relational Database</u>
Structure	Cells, Sheets	Tables, Rows, Columns Queries, Reports
Usage	Short Term	Long Term
Data Integrity	Possible, not	Enforced
Multiple	Common easily	More difficult
Copies	Duplicated Fewer	Multiple Uses
Flexibility	Uses	Multiple
Concurrency	One user	users

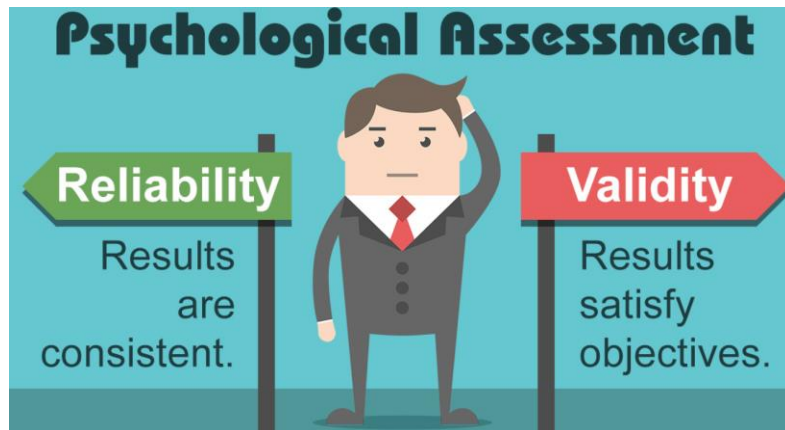
Database Design

- Identify key fields on the CRF
- Select appropriate data types
- Choose meaningful field names
- Maintain consistency of names and data types for key fields
- Prepare for missing data
- Coding for missing data
- Database tables
- Data dictionary



Database Validation

- Test data entry screens to ensure data are mapped to the correct fields
- Validate the data field definitions in terms of length and type
- Verify that out-of-range data are flagged and error messages trigger properly
- Verify that primary key fields are assigned correctly, no duplicates
- Validate edit, range and logic checks



Data Analysis and Reporting

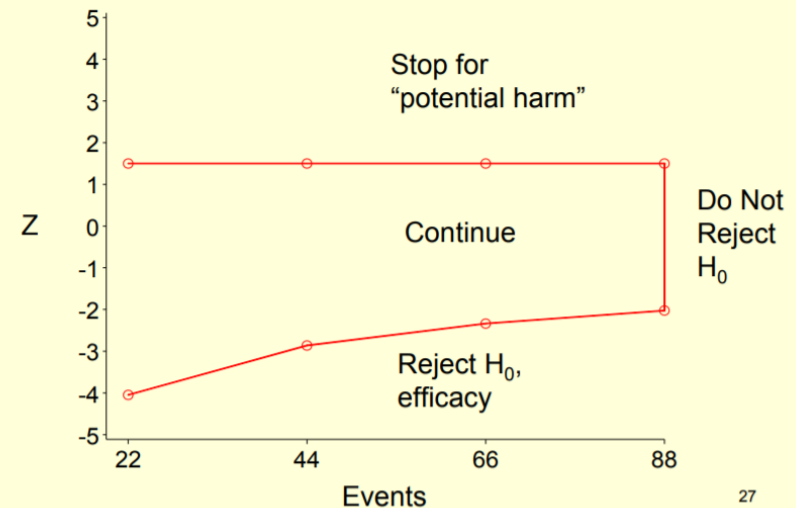
- IRB Continuing review
- Data Safety Monitoring Boards (DSMB)
- Interim analyses for safety or efficacy
 - Interim analyses for abstracts
- Final analyses

Plan for Early Stopping

- Desire to stop the trial early in the event of:
 1. clear early evidence of efficacy
 2. clear early evidence of harm (wrt HIV)
 3. early evidence of harm based on additional safety data (AEs, labs, vital signs, etc.)
- Also might decide to stop for futility
 - What is “futility”? Pointlessness/uselessness
- Could also stop for poor trial quality, slow enrollment, high loss, etc.

4

“Potential Harm” Boundary



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Reference: Interim analyses and early stopping in clinical trials : classical and adaptive methods Christopher Jennison Dept of Mathematical Sciences, University of Bath, UK, 2006

Analysis

- Delineate the research question with the **statistician** and **investigator**
- Determine **critical data** required to evaluate the research question
- Prepare **statistical analysis datasets** and **QC** listings
- Work with **statisticians** to complete the analysis
- Participate in the review of the analysis with the **statistician** and **investigator**
 - List and description of investigators and other important participants in the study, including brief (1 page) CVs or equivalent summaries of training and experience relevant to the performance of the clinical study

Conclusions

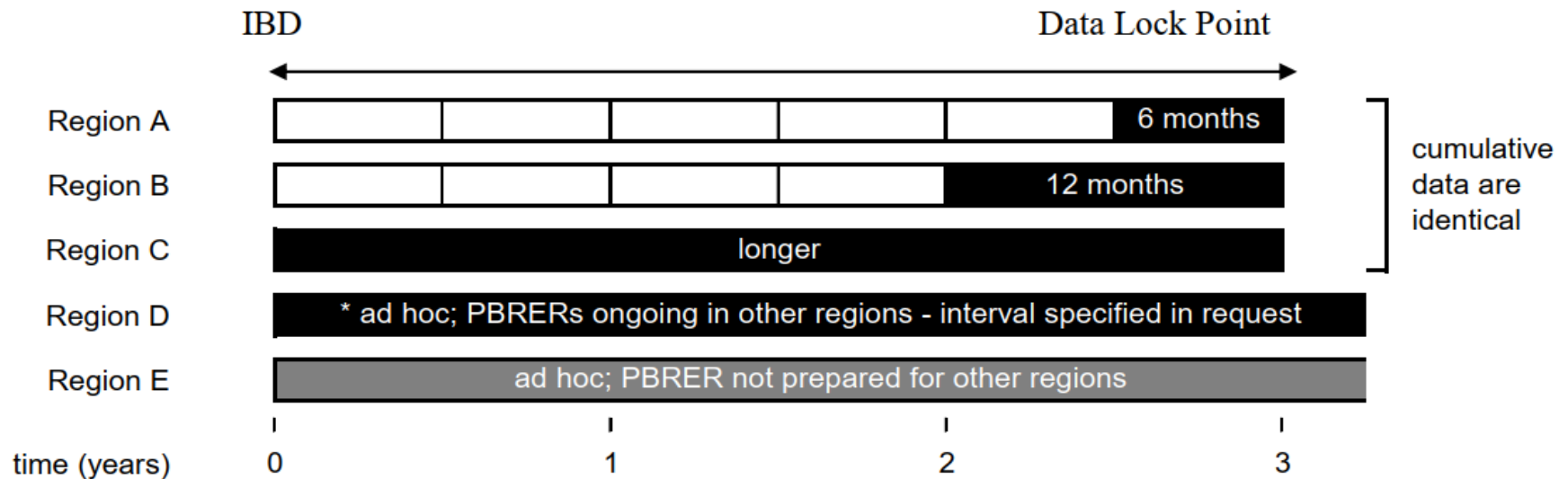
- Data quality is the most important aspect of clinical data management
- Data quality must support the evaluation of study objectives
- Data quality is a multidisciplinary effort
- Data quality requires sufficient resources and expertise

PBER and Data Lock Point (Periodic Benefit Risk Evaluation Report)

Figure 1: Submission of PBERERs Based on the Same Data Lock Point, with Various Reporting Periods.

Shading indicates period of interval data.

For all reports, the cumulative data reflect all data from the IDB/DIBD**.



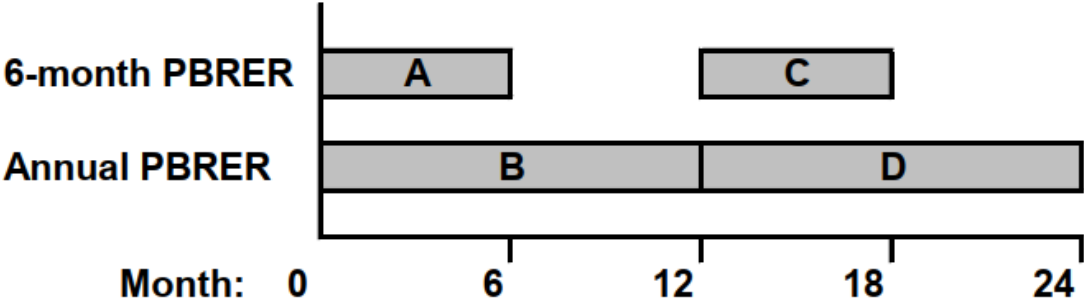
* update the most recent cumulative and interval data, as appropriate

** Cumulative Clinical Trial Summary Tabulation of Serious Adverse Events & Clinical Trial Exposure data only

Ref: Periodic Benefit-Risk Evaluation Report (PBERER)

IDB Injury DataBase; DSUR = Development Safety Update Report

Figure 2: Submission of 6-Month and Annual PBRERs



Region 1 requires 6-monthly PBRER, and receives PBRER A, B, C, and D (assuming agreement has been reached with pertinent regulatory authority[ies]).

Region 2 requires annual PBRER, and receives PBRER B and D.

Table 1 – Estimated Cumulative Subject Exposure from Clinical Trials

Estimates of cumulative subject exposure, based upon actual exposure data from completed clinical trials and the enrolment/randomisation schemes for ongoing trials.

Treatment	Number of subjects
Medicinal product	
Comparator	
Placebo	

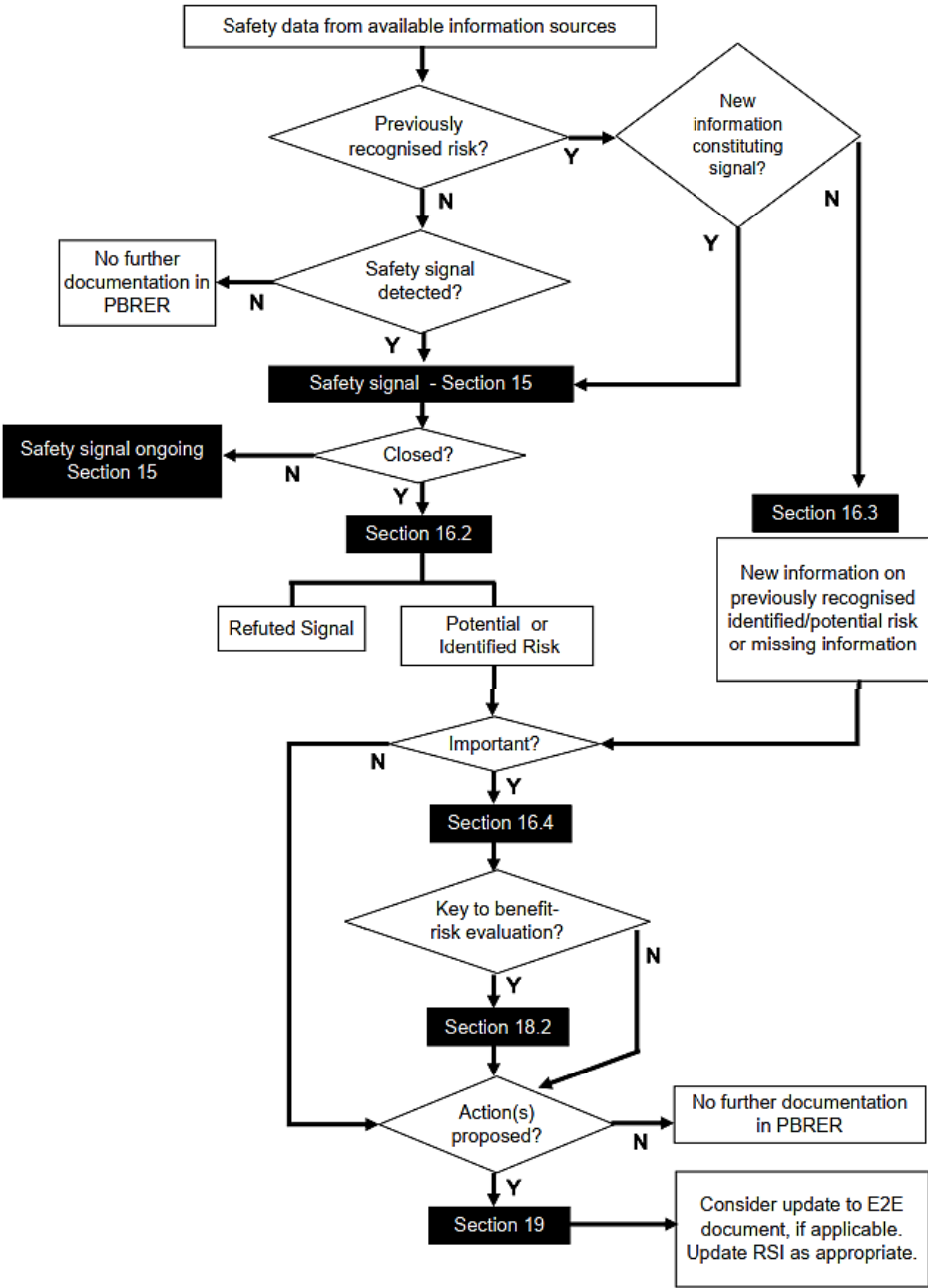
Table 2 – Cumulative Subject Exposure to Investigational Drug from Completed Clinical Trials by Age and Sex*

	Number of subjects		
Age range	Male	Female	Total

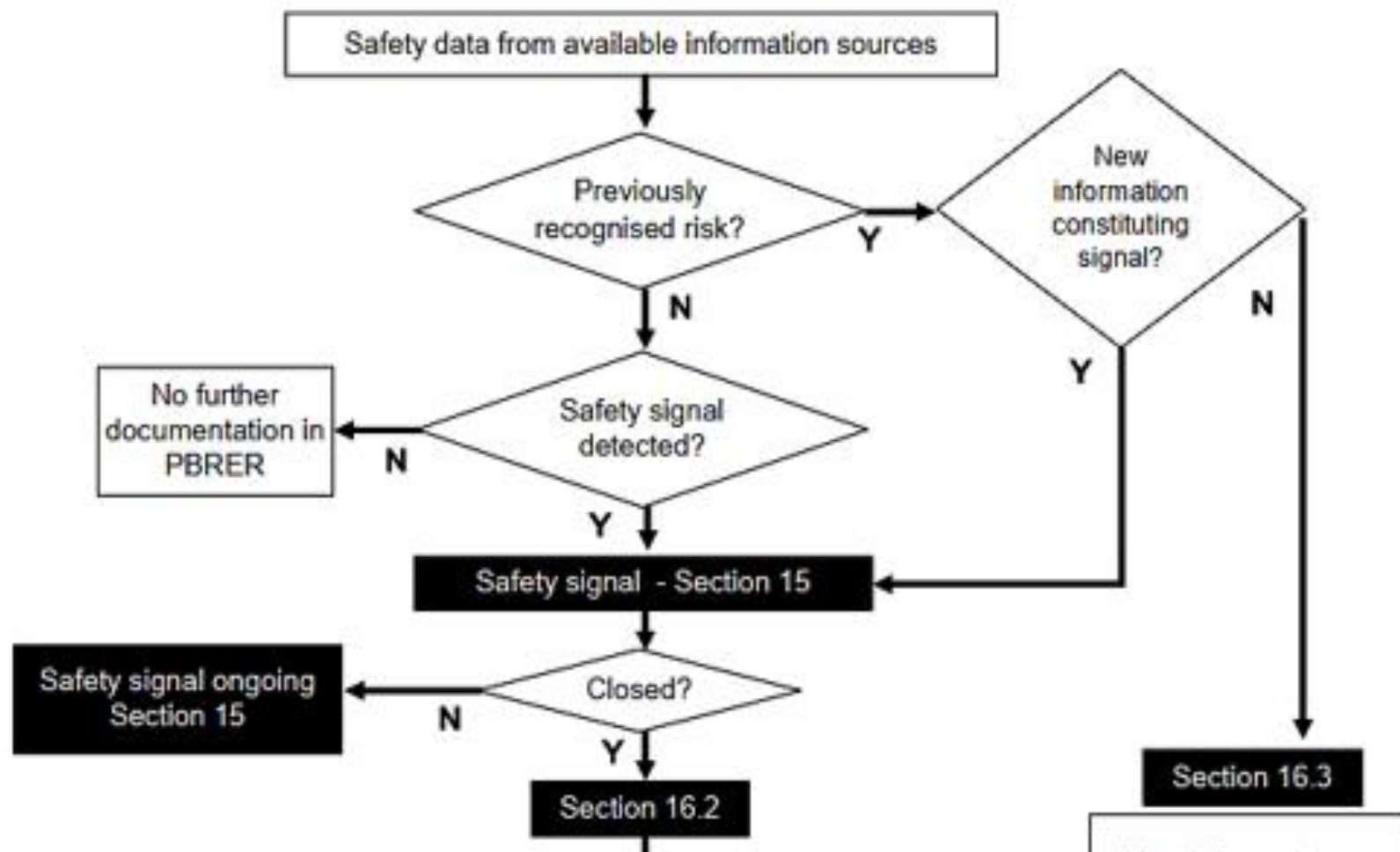
* Data from completed trials as of [date]

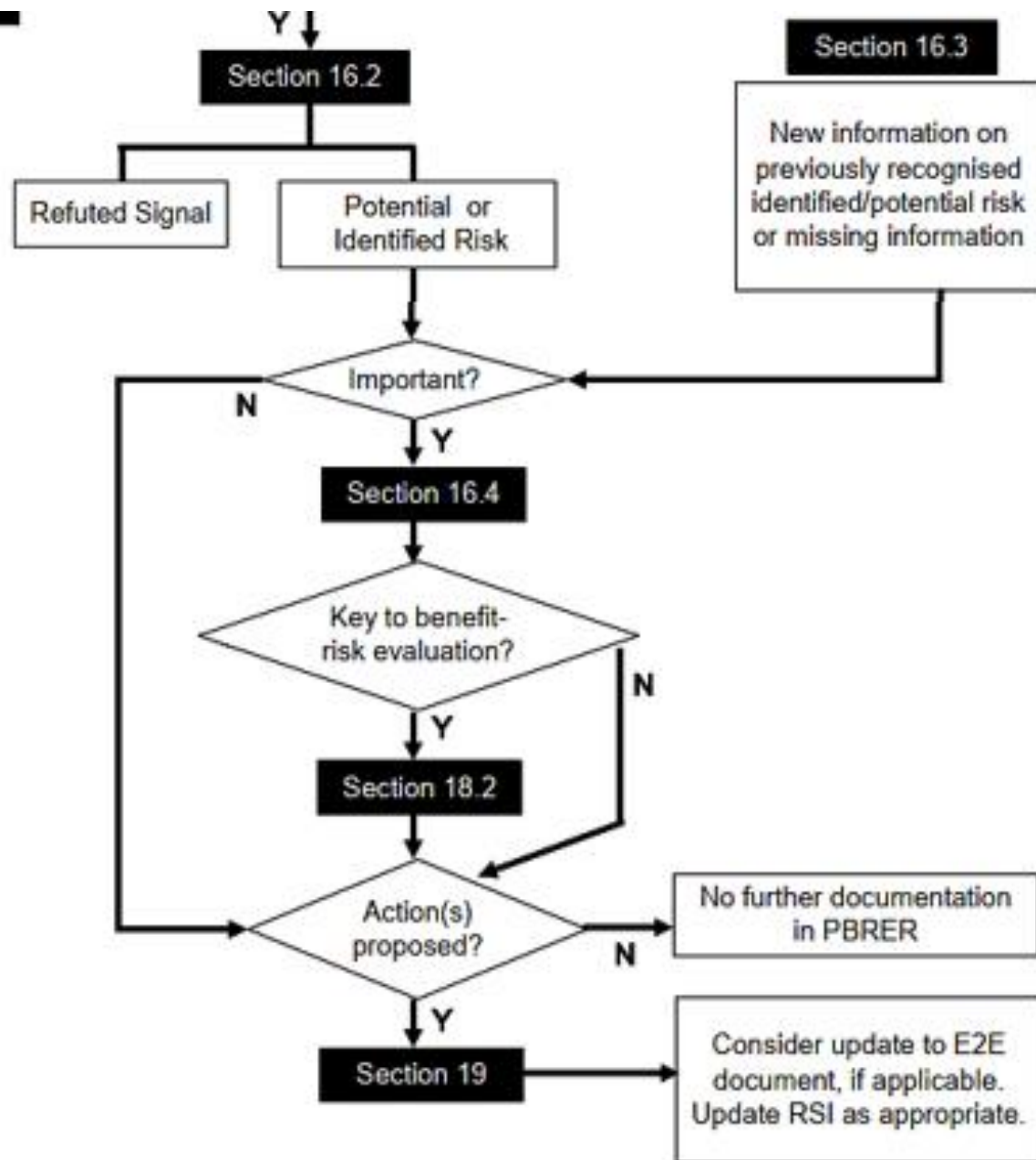
Mapping Signals and Risk to Periodic Benefit Risk Evaluation

APPENDIX F – Mapping Signals and Risks to PBRER Sections



APPENDIX F – Mapping Signals and Risks to PBRER Sections





RSI = Reference Safety Information; E2E = End to End

Thank You

